

# Digitally-Enhanced High-Order $\Delta\Sigma$ Modulators

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**Abstract** --- The input feedforward path in a  $\Delta\Sigma$  modulator is an attractive technique for low-distortion swing-reduction design. It helps lower the power dissipation, especially in  $\Delta\Sigma$  modulators designed with low oversampling ratios (OSRs) in low-voltage nanometer CMOS technologies. However, a  $\Delta\Sigma$  modulator with analog feedforward (AFF) requires an analog adder before the quantizer, which can limit the achievable resolution or degrade the signal swing and increase the power dissipation. In this paper, a single-stage multibit  $\Delta\Sigma$  modulator with digital feedforward (DFF) is proposed to realize a high-order finite-impulse-response noise transfer function, thereby achieving high signal-to-quantization-noise ratios at low OSRs. Its key features include reduced swing at the opamp outputs, reduced sensitivity to integrator nonlinearities, and robustness to  $\Delta\Sigma$  modulator coefficient variations, all of which are achieved using only minimal additional digital hardware. Behavioral simulation results confirm that the proposed DFF modulator achieves the swing-reduction and low-distortion performance of an AFF modulator, while eliminating the need for an analog adder.

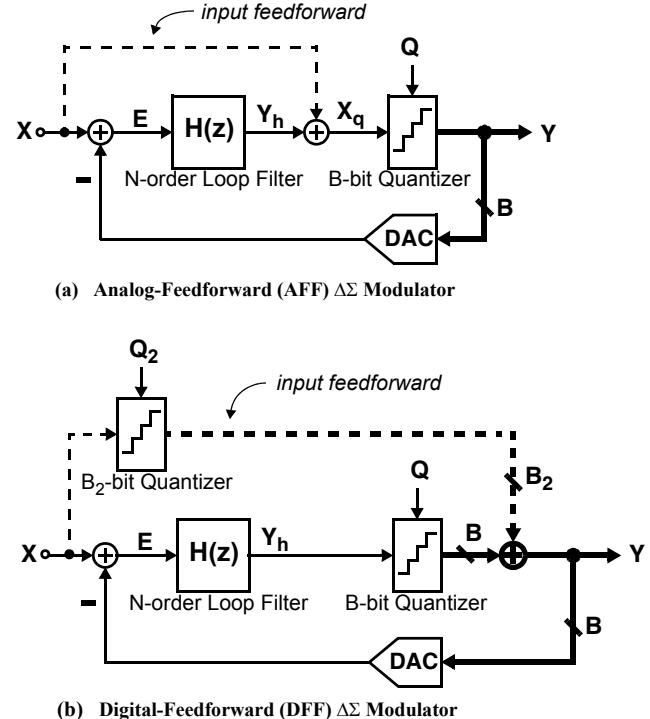
## I. INTRODUCTION

The proliferation of broadband digital-communication applications is stimulating research towards the development of analog-to-digital converters (ADCs) with higher speeds and higher resolutions. Potential applications include high-speed wireless systems, such as 3G and 4G mobile terminals [1]. These high-speed high-resolution ADCs must be designed in standard digital CMOS processes to achieve higher system integration and lower fabrication costs, while harnessing the advanced digital-signal-processing capabilities of scaled CMOS processes. However, in nanometer CMOS technologies, the low-power design of high-resolution analog circuits is complicated by the low supply voltages and the small devices with poor analog-signal processing capabilities.

Oversampled  $\Delta\Sigma$  ADCs are well known for their ability to achieve high-resolution A/D conversion in low-to-medium speed applications [2]. However, extending a  $\Delta\Sigma$  ADC to broadband applications requires lowering its oversampling ratio (OSR) in order for its  $\Delta\Sigma$  modulator to be realizable within the technology limitations of CMOS processes, while meeting a moderate power budget. Unfortunately, this limits the efficiency of a  $\Delta\Sigma$  ADC in achieving high-resolution A/D conversion.

This paper explores several aspects of the design of high-speed high-resolution  $\Delta\Sigma$  ADCs when the OSR and the supply voltages are limited by the CMOS technology. Namely:

**1) High-Order Multibit  $\Delta\Sigma$  Modulator:** In a single-stage  $\Delta\Sigma$  modulator (Fig. 1), the loss in signal-to-quantization-noise ratio (SQNR) due to OSR lowering can be compensated for by increasing the noise-shaping order  $N$  of the loop filter  $H(z)$  and/or the resolution of the internal  $B$ -bit quantizer [2]. By fully exploiting the enhanced stability characteristics of multibit quantization (quantizer overload can be completely avoided [3]), a stable high-order  $\Delta\Sigma$  modulator with an aggressive noise-transfer-functions (NTF) can be designed to achieve high SQNRs at low OSRs. Thus, the entire noise budget can be allocated to the analog-noise sources (mainly, thermal  $kT/C$  noise) to reduce the power dissipation.



**Fig. 1.** Linear model of a single-stage  $\Delta\Sigma$  modulator with an input feedforward path (dashed line) implemented in: a) the analog domain; and b) the digital domain.

**2) Input Feedforward Path:** With an input feedforward path (dashed line in Fig. 1), the analog input signal no longer flows through the loop filter, but rather reaches the output through the feedforward path [3]. Since, ideally, the input signal is not processed by the opamps in the loop-filter integrators, no harmonic distortion is generated and the signal swing is reduced at the integrator outputs [4]. Hence, the input feedforward path in a  $\Delta\Sigma$  modulator is an attractive technique for low-distortion swing-reduction design. It helps lower the power dissipation, especially for low-OSR  $\Delta\Sigma$  modulators designed in a low-voltage nanometer CMOS technology [3].

The input feedforward can be implemented using either the analog feedforward (AFF) path in Fig. 1a or the digital feedforward (DFF) path in Fig. 1b. An AFF  $\Delta\Sigma$  modulator with arbitrary-order NTF was presented in [3], whereas a DFF  $\Delta\Sigma$  modulator with only a 2nd-order NTF was reported in [5].

In this paper, the advantages and design challenges of  $\Delta\Sigma$  modulators with DFF versus AFF paths are outlined. Next, a general architecture for digitally-enhanced  $\Delta\Sigma$  modulators is presented. Then, to enable the OSR reduction in high-speed  $\Delta\Sigma$  ADCs without compromising the resolution, a multibit  $\Delta\Sigma$  modulator with DFF is proposed to realize finite-impulse-response (FIR) NTFs of arbitrary orders. Its key features include reduced signal swing at the opamp outputs, reduced sensitivity to integrator nonlinearities, robustness to  $\Delta\Sigma$  modulator coefficient variations, all of which are achieved using only minimal additional digital hardware.

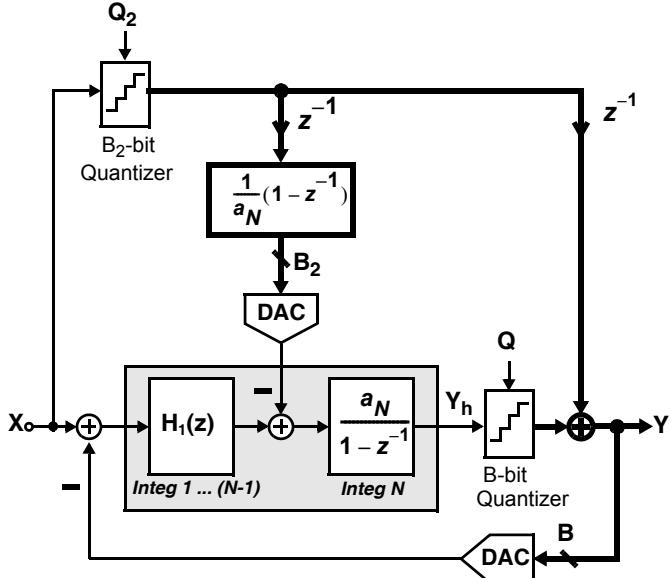


Fig. 2. Proposed architecture for a  $\Delta\Sigma$  modulator with DFF.

This paper is structured as follows: Section II outlines the advantages and design challenges of DFF versus AFF  $\Delta\Sigma$  modulators. Section III then proposes a multibit DFF  $\Delta\Sigma$  modulator with an arbitrary-order FIR NTF. In Section IV, behavioral simulation results are presented to compare the performance of the proposed DFF  $\Delta\Sigma$  modulator versus previously-reported AFF  $\Delta\Sigma$  modulators.

## II. DIGITAL VERSUS ANALOG FEEDFORWARD PATH

### A. Analog Implementation

The implementation of an AFF path is complicated by the need for an analog adder to realize the summation at the quantizer input (Fig. 1a). This analog adder can be implemented using:

a) an active analog summation amplifier [6]. However, since this analog amplifier must process the full-scale analog input signal, the signal swing at the modulator input will be limited by the available swing at the output of the analog amplifier. Furthermore, the need for active components (opamps) when using an active amplifier increases the overall power dissipation.

b) a passive switched-capacitor (SC) network [3]. This low-power solution is only effective when the number of quantization bits and, hence, the quantizer input capacitance is small. However, in the case of multibit quantization, a buffer is needed between the SC network and the quantizer for proper operation. Furthermore, to maintain the signal swing at the quantizer input, the quantizer reference voltage must be scaled down from its nominal value by a factor equal to the voltage drop across the passive SC network [3].

This also scales down (by the same factor) the quantizer step size and, hence, the minimum acceptable accuracy (maximum acceptable offset) for the comparators in the quantizer. Therefore, comparators with a higher resolution are required.

### B. Digital Implementation

To overcome the drawbacks of an analog implementation, the input feedforward path can be implemented in the digital domain (Fig. 1b). This requires an extra quantizer. However, owing to the reduced signal swing with DFF (Section IV), the total number of comparators required in the main and the extra quantizers of a DFF  $\Delta\Sigma$  modulator is actually smaller than that required in an AFF  $\Delta\Sigma$  modulator with equivalent noise-shaping characteristics. Furthermore, although the extra quantizer injects additional quantization noise  $Q_2$  at the modulator output, this paper presents a design technique to cancel  $Q_2$  at the output of a DFF  $\Delta\Sigma$  modulator (Section III).

## III. PROPOSED $\Delta\Sigma$ MODULATOR WITH DFF

### A. Proposed Architecture for a $\Delta\Sigma$ Modulator with DFF

Consider the  $\Delta\Sigma$  modulator proposed in Fig. 2. Here, the input feedforward path is implemented in the digital domain. Then, to cancel the additional quantization noise  $Q_2$  at the output of the DFF  $\Delta\Sigma$  modulator, the output of the extra  $B_2$ -bit quantizer is differentiated in the digital domain and added to the input of the last integrator in the  $\Delta\Sigma$  loop filter. This results in  $-(X + Q_2)$  appearing at the modulator output, which cancels the  $(X + Q_2)$  injected by the DFF path. Thus, the modulator output signal is:

$$Y = STF \cdot X + NTF \cdot Q \quad (1)$$

where  $STF = \frac{H}{1+H}$  Signal Transfer Function

$NTF = \frac{1}{1+H}$  Noise Transfer Function

$H = H_1 \frac{a_N}{1-z^{-1}}$  Loop Transfer Function

This is identical to the output of a classical  $\Delta\Sigma$  modulator with no input feedforward (Fig. 1a without the dashed line). However, the advantage of this DFF  $\Delta\Sigma$  modulator is that the signal component at the output of the loop filter (the input of the main quantizer) is reduced from  $STF \cdot X \cong X$  to  $(STF - z^{-1})X \cong (1 - z^{-1})X$  within the signal band. Thus, only the 1<sup>st</sup> derivative of the analog input signal  $X$  appears at the output of the last integrator in the  $\Delta\Sigma$  loop filter. Accordingly, the signal swing is reduced at the output of the loop-filter integrators.

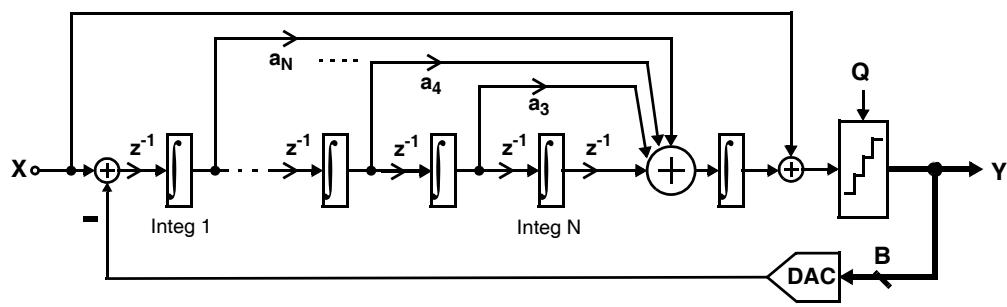


Fig. 3. A high-order  $\Delta\Sigma$  modulator with AFF and an FIR NTF, presented in [3]. Here,  $\int \equiv 1/(1-z^{-1})$ .

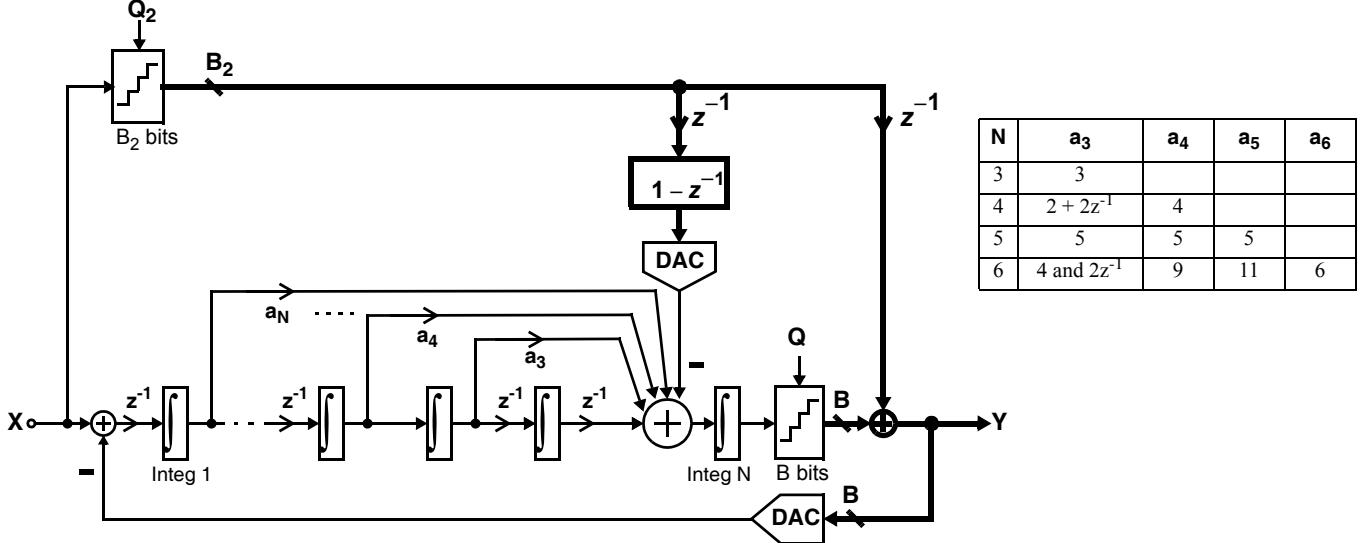


Fig. 4. Proposed high-order multibit  $\Delta\Sigma$  modulator with DFF.

The feedforward coefficients  $a_k$  ( $k = 3, \dots, N$ ) needed to realize the FIR NTF in equation (2) are given in the table. Here,  $\int \equiv 1/(1 - z^{-1})$ .

### B. High-Order $\Delta\Sigma$ Modulator with DFF

The simplest NTF, which can achieve a high SQNR at low OSRs, is a high-pass FIR transfer function with  $N$  zeros at dc:

$$NTF = (1 - z^{-1})^N \quad (2)$$

To realize an FIR NTF of arbitrary order  $N$ , the multibit DFF  $\Delta\Sigma$  modulator shown in Fig. 4 is proposed. This proposed design applies the DFF design concept shown in Fig. 2 to the AFF  $\Delta\Sigma$  modulator shown in Fig. 3.

## IV. BEHAVIORAL SIMULATION RESULTS

### A. Behavioral Simulation Conditions and Models

The proposed DFF  $\Delta\Sigma$  modulator (Fig. 4) and the previously-reported AFF  $\Delta\Sigma$  modulator (Fig. 3) were simulated in SIMULINK for the following design specifications:

- 3rd-order NTF ( $N=3$ ) and OSR = 16
- 32-level mid-tread quantizer ( $B = 5$  bits).
- The quantizer reference voltage is normalized to  $V_{\text{REF}} = 1$  V.
- The amplitude of the sinusoidal input is  $V_{\text{in}} = 0.5$  V (-6 dBFS).
- The input-signal frequency is  $f_{\text{in}} = f_S/(8 \cdot \text{OSR})$ , in order for the first 4 input-signal harmonics to fall within the signal band.

The SIMULINK behavioral simulations accounted for the following loop-filter nonidealities:

**1) Opamp Nonidealities:** The discrete-time loop-filter integrators are modeled as described in [7] to account for the finite dc gains, the nonlinear dc-gain variations, and the output saturation voltages of the opamps in practical switched-capacitor (SC) integrators. These opamps are assumed to have a **maximum dc gain**  $A_{0\max} = 150$  V/V (43 dB) and an output saturation voltage  $V_{\text{Osat}} = 1$  V. This is to account for the low dc gains of the opamps in nanometer CMOS technologies. In these scaled technologies, opamps with low dc gains are readily obtainable using classical folded-cascode or current-mirror designs. However, high-gain opamps require either multiple gain stages or output-impedance enhancement [8], due to the low supply voltages and poor intrinsic gains of the MOS transistors in scaled CMOS technologies. Such gain-boosting techniques for the opamps significantly increase the power dissipation and degrade the speed.

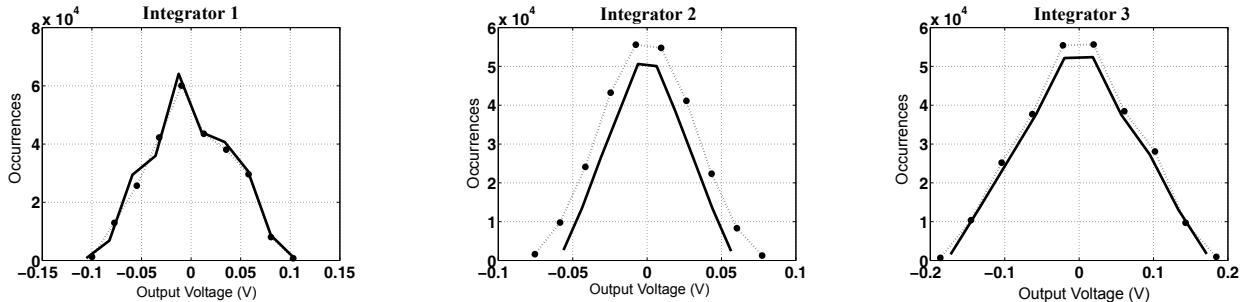
**2) Modulator Coefficients:** To account for variations in modulator coefficients, the signal-to-noise-plus-distortion ratio (SNDR) values reported correspond to the minimum SNDR values found over 50 simulations in which each modulator coefficient is assumed to have a **uniformly-distributed random error** in the range  $\pm e_{\text{coeff}} = 1\%$ .

### B. Behavioral Simulation Results

Table I summarizes the behavioral simulation results. Accordingly, the proposed DFF  $\Delta\Sigma$  modulator achieves the same SNDR and output-swing reduction as the previously-reported AFF  $\Delta\Sigma$  modulator. Observe that the signal swing at the quantizer input is much more reduced in the proposed DFF modulator, compared to the AFF modulator. This is because the inband signal component at the quantizer input is approximately  $X$  for the AFF modulator, whereas it is only  $(1 - z^{-1})X$  for the proposed DFF modulator (Section III).

TABLE I COMPARISON BETWEEN AFF AND DFF  $\Delta\Sigma$  MODULATORS

	AFF modulator [7] (Fig. 3) $N = 3$ $B = 5$ bits	Proposed DFF modulator (Fig. 4)	
		$B_2 = 5$ bits	$B_2 = 4$ bits
SNDR at $V_{\text{in}} = -6$ dBFS with $A_{0\max} = 150$ V/V $\pm e_{\text{coeff}} = 1\%$	86.8 dB	86.5 dB	86.7 dB
1 <sup>st</sup> -integrator Output Swing (absolute maximum)	0.12 V	0.11 V	0.11 V
2 <sup>nd</sup> -integrator Output Swing (absolute maximum)	0.06 V	0.09 V	0.09 V
3 <sup>rd</sup> -integrator Output Swing (absolute maximum)	0.19 V	0.21 V	0.23 V
Quantizer Input Swing (absolute maximum)	0.67 V	0.21 V	0.23 V
No. of Comparators in Main Quantizer	32	7	8
No. of Comparators in Extra Quantizer	0	32	16
Total No. of Comparators	32	39	24



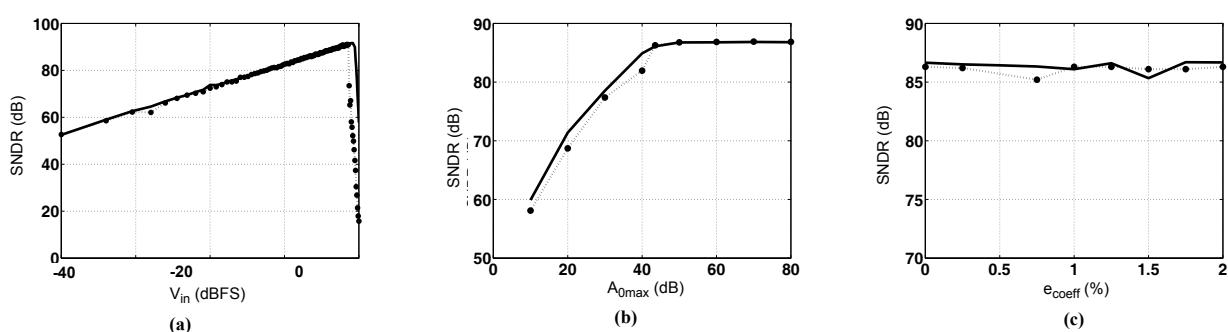
**Fig. 5.** Signal swing at the integrator outputs. Here:  $\bullet$ —proposed DFF  $\Delta\Sigma$  modulator in Fig. 4 ( $B = 5$  bits,  $B_2 = 4$  bits); — AFF  $\Delta\Sigma$  modulator in Fig. 3 [5].

Thus, in the AFF modulator, the full-swing input signal  $X$  appears at the input of the main quantizer and, hence, all comparators in main quantizer are needed to digitize the input signal. However, in the proposed DFF modulator, only the 1<sup>st</sup> derivative of  $X$  appears at the input of the main quantizer. Owing to this swing reduction (as shown in Table I), the number of comparators needed in the main quantizer of the proposed DFF modulator can be reduced from 32 to  $32 \times 0.21 \rightarrow 7$ . Furthermore, observe that (Table I) the performance of the proposed DFF modulator is maintained even if the number of quantization bits  $B_2$  in its extra quantizer is reduced from 5 bits (31 levels) to 4 bits (15 levels). Accordingly, the total number of comparators required in the main and the extra quantizers of the proposed DFF modulator is actually smaller than that required in the AFF modulator.

Figure 5 shows the swing distribution at the output of each integrator. Accordingly, the swing reduction in the proposed DFF  $\Delta\Sigma$  modulator is comparable to that in the AFF  $\Delta\Sigma$  modulator.

Figure 6a depicts the SNDR versus input-signal levels. Accordingly, the SNDR and dynamic-range performances of the proposed DFF modulator are similar to that of the AFF modulator. Figure 6b shows the SNDR versus the maximum dc gain  $A_{0\max}$  of the opamps, for a coefficient error  $e_{\text{coeff}} = 1\%$ . Accordingly, the sensitivity of the proposed DFF modulator to nonlinear variations in opamp dc gains is comparable to that of the AFF modulator. Furthermore, it can achieve a high resolution and linearity (SNDR > 13 bits) using opamps with only moderate dc gains (as low as 60V/V or 36dB), thereby confirming the reduced sensitivity of the proposed DFF modulator to opamp dc gains.

Figure 6c shows the SNDR versus the error  $e_{\text{coeff}}$  in the modulator coefficients, assuming a maximum dc gain  $A_{0\max} = 150\text{V/V}$  (43dB) for the opamps. Accordingly, the proposed DFF modulator can tolerate a 2% variation in its loop-filter coefficients with only 1.1 dB drop in SNDR, thereby confirming the robustness of the proposed DFF modulator to coefficient variations.



**Fig. 6.** SNDR versus: **a)** input-signal level  $V_{\text{in}}$  (with  $A_{0\max} = 150\text{V/V}$  and  $e_{\text{coeff}} = 0\%$ ); **b)** opamp's maximum DC gain  $A_{0\max}$  (with  $e_{\text{coeff}} = 1\%$ ); and **c)** modulator's coefficient error  $e_{\text{coeff}}$  (with  $A_{0\max} = 150\text{V/V}$ ).

Here:  $\bullet$ —proposed DFF  $\Delta\Sigma$  modulator in Fig. 4 ( $N = 3$ ,  $B = 5$  bits,  $B_2 = 4$  bits); — AFF  $\Delta\Sigma$  Modulator in Fig. 3 [5] ( $N = 3$ ,  $B = 5$  bits).

## V. CONCLUSION

A high-order multibit  $\Delta\Sigma$  modulator with FIR NTF was proposed to reach high SQNRs at low OSRs. Through digital enhancement using minimal additional hardware, the proposed  $\Delta\Sigma$  modulator achieves reduced swing at the opamp outputs, reduced sensitivity to integrator nonlinearities, and robustness to coefficient variations. Thus, it is particularly suitable for designing high-speed high-resolution ADCs in low-voltage CMOS technologies.

## VI. REFERENCES

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